

## NOVEL PHENOLIC RESINS

The invention is directed toward compositions which are useful as hardeners and/or catalysts for novolac and resole phenolic resins.

5           Phenolic resins can be broadly divided into two general classes: novolacs and resoles. Novolac resins are generally characterized as being formaldehyde deficient. That is to say that the ratio of formaldehyde to phenolic groups is  $<1$ . Resole resins are generally characterized as being formaldehyde rich. That is to say that the ratio of formaldehyde to phenolic groups is  $>1$ . Both novolacs and resoles may incorporate a variety of phenolic  
10       compounds, alone or in combination, including but not limited to phenol, resorcinol, bisphenols, phloroglucinol, cresols, alkyl phenols, phenol ethers, tannins, and lignins. Similarly, other aldehydes may be substituted in whole or in part for formaldehyde, including but not limited to acetaldehyde, propionaldehyde, cyclohexanedicarboxaldehydes, benzaldehydes, furfural, and other aryl or heterocyclic aldehydes.

15           Novolac resins are usually cured (crosslinked, hardened) through the use of formaldehyde, formaldehyde-donating hardener compounds, or formaldehyde equivalent compounds. Hexamethylenetetramine (hexa) and paraformaldehyde are often used commercially to cure novolac resins. In addition to a source of formaldehyde, heating and the presence of a catalyst are usually employed to accelerate the rate and extent of curing.  
20       Catalysts may include inorganic bases such as sodium or potassium hydroxide, Lewis acids such as zinc chloride, or amines such as triethylamine.

Resoles, being formaldehyde rich, do not require additional formaldehyde to cure. Heat alone or heating in the presence of a catalyst - usually an acid - are all that are required.

25           The invention herein disclosed comprises the use of oxazolidines, nitroalcohols, nitroamines, imines, aminonitroalcohols, hexahydropyrimidines, nitrones, hydroxylamines, nitro-olefins and nitroacetals to serve as hardeners and/or as catalysts for curing phenolic resins. These hardeners include both completely new compositions as well as known compounds found to have unexpected activity. The hardeners are effective in curing a wide range of phenolic resins, including novolacs and resoles. Through the judicious selection of  
30       these hardeners, used either alone or in various combinations, it is possible to advantageously vary the processing parameters of these phenolic resin systems. Lower curing temperatures, controlled curing rates, and reduced post-cure cycles are advantageous

improvements as compared to standard hexa-cured novolac or acid-catalyzed resole systems. These process improvements and reduction in cycle time have obvious economic benefits.

The hardeners and catalysts described in this invention can be applied in any application where phenolic resins are used, including but not limited to adhesives, molding compounds, foundry materials, abrasives, friction materials, insulation, laminates, coatings, pultrusion, preregs, electronics, composites, fire resistant, and flame-retardant end uses.

The invention includes novel curing agents, that is, hardeners and catalysts, drawn from a wide variety of existing and new oxazolidines, nitroalcohols, nitroacetals, nitro-olefins, nitroamines, hexahydropyrimidines, aminonitroalcohols, nitrones, hydroxylamines, and imines, as described below. The hardeners and catalysts are selected from the group consisting of pyrrolidine/2-Methyl-2-nitro-1,3-propanediol (PYRR/NMPD), diethylamine/2-Methyl-2-nitro-1,3-propanediol (DEA/NMPD), 2-furfural/2-Methyl-2-nitro-1,3-propanol (FUR/NMP), vanillin- isopropylhydroxylamine (IPHA) nitrone, 2-Methyl-2-nitro-1,3-propanediol-bis-diethylamine (NMPD-bis-DEA), Cyclohexanedicarboxaldehyde diimine (CHDA-A), 2-dimethylamino-2-hydroxymethyl-1,3-propanediol (DMTA), dimethylamine-2-Methyl-2-nitro-1,3-propanediol (DMA-NMPD), bis-(2-nitroisobutoxy)methane (NMP acetal), 5-nitro-5-hydroxymethyl-1,3-bis(isopropyl)hexahydropyrimidine (PYRIM) and formaldehyde-based nitrones from hydroxylamine, including hydroxylamine (HA), N-isopropylhydroxylamine (IPHA), N-propylhydroxylamine (PHA), hydroxylamines N-ethylhydroxylamine (EHA), N-t-butylhydroxylamine (tBuHA), and N-benzyl hydroxylamine (N-BzHA).

#### Examples

Oxazolidines Zoldine<sup>®</sup> ZT-55 and ZT-65 (5-hydroxymethyl-1-aza-3,7-dioxabicyclo[3.3.0]octane), Bioban<sup>®</sup> CS-1246 (5-ethyl-1-aza-3,7-dioxabicyclo[3.3.0]octane), Bioban<sup>®</sup> CS-1135 (4,4-dimethyl-1-oxa-3-azacyclopentane), Amine CS-1991<sup>™</sup> (5-methyl-1-aza-3,7-dioxabicyclo[3.3.0]octane), Zoldine<sup>®</sup> BBA (3-ethyl-2-isopropyl-1-oxa-3-azacyclopentane), and Bioban<sup>®</sup> N-95 (mixture of ZT, 5-hydroxymethoxymethyl-1-aza-3,7-dioxabicyclo[3.3.0]octane, and higher hydroxyalkoxymethyl oligomers), nitroalcohols tris(hydroxymethyl)- nitromethane (TN), 2-methyl-2-nitropropanol (NMP), and 2-methyl-2-nitro-1,3-propanediol (NMPD), and the

hydroxylamines N-isopropylhydroxylamine (IPHA), N-ethylhydroxylamine (EHA), N-propylhydroxylamine (PHA), and N-t-butylhydroxylamine (tBuHA) were all obtained from Angus Chemical Company. The  $\beta$ -methyl- $\beta$ -nitrostyrene, N,N-diethylhydroxylamine (DEHA), diethylamine (DEA), dimethylamine (DMA), isopropylamine (IPA),  
5 hexamethylenetetramine (HEXA), N-cyclohexylhydroxylamine (N-CyhexHA), N-benzylhydroxylamine (N-BzHA), hydroxylamine (HA), and common acids, bases, lab reagents and solvents were all commercial samples obtained from Aldrich Chemical Company. Formcel™ was obtained from Celanese. Durez PF novolac resin Varcum 29-607, Plenco PF novolac resin 13360, and Dynea PRF novolac resin 1204 were all commercially  
10 available materials. Other materials were synthesized as indicated below.

#### GC ANALYSES:

GC analyses were performed using a Hewlett Packard Model 5890A gas chromatograph with FID detector on a 30 meter X 0.25 mm 1  $\mu$  film DB-5 column.

15

#### DSC ANALYSES:

DSC analyses were performed using a TA Instruments Model Q100 differential scanning calorimeter. The DSC scans were run from 25°C to 400°C at  $\Delta T = 10^\circ\text{C} / \text{minute}$  with a nitrogen flow of 50 cc / minute. Samples were prepared by dissolving the resin and  
20 hardener components in excess ethanol, then removing the solvent under vacuum at room temperature. Non-hermetic, aluminum sample pans were used. A small hole was punctured in the top before crimping.

#### GEL TIME DETERMINATIONS:

25 The gel times of resin formulations were determined by the manual hot plate method.

A hot plate is preheated to 160°C. A small sample of the resin formulation is placed on the hot surface, and a timer is started. The sample melts and is "stroked" with a spatula until the gel point is reached, that is, the thickening melt no longer "threads" as the spatula is  
30 stroked through it. At this point the timer is stopped. The elapsed time is recorded as the gel time. Reported values are averages of multiple determinations.

Example 1 - Synthesis of PYRR/NMPD

PYRR/NMPD: 2-Methyl-2-nitro-1,3-propanediol (NMPD; 27.02g, 0.20 moles) and pyrrolidine (14.22g, 0.20 moles) were charged to a 250 mL flask. The mixture was stirred at room temperature under a nitrogen blanket. After 30 minutes, an exotherm to 40°C was observed, and the pale yellow solution became turbid. When the exotherm subsided, the reaction mixture was heated at 60°C for 3 hours, then at 70°C for 12 hours. The product mixture was diluted with an equal volume of ethanol and toluene, then the solvents were removed by rotary evaporation to azeotrope off the water. The yield of PYRR/NMPD as a clear, amber oil was 33.92g. GC analysis indicated the presence of 13 area percent of unreacted NMPD.

Example 2 - Synthesis of DEA/NMPD

DEA/NMPD: NMPD (13.52g, 0.10 moles) and diethylamine (DEA; 10.34 mL, 7.31g, 0.10 moles) were charged to a 100 mL flask. The mixture was stirred at room temperature to give a clear, yellow solution. The solution was stirred at room temperature under a nitrogen blanket for 6 days. The solution was then diluted with an equal volume of toluene, and the solvent was removed by rotary evaporation to azeotrope off the water. A yellow oil was isolated. Some white crystals of unreacted NMPD separated from the product on standing at room temperature. The NMPD was removed by filtration. The yield of DEA/NMPD as a clear, yellow oil was 12.45g. GC analysis showed the oil contained <3 area percent of NMPD.

Example 3 - Synthesis of FUR/NMP

FUR/NMP: Nitroethane (NE; 7.51g, 0.10 moles), 2-furfural (19.22g, 0.20 moles), and tetrahydrofuran (THF; 50 mL) were charged to a 250 mL flask. Amberlyst A-21 ion exchange resin (10g) was then added. After stirring at room temperature under a nitrogen blanket for 2 weeks, the reaction mixture was filtered. The resin was washed on the filter with THF and the washings were combined with the filtrate. The solvent was removed by rotary evaporation to give 16.46g of dark brown oil. GC/MS analysis indicated that the product was a mixture of ca. 14 area percent of unreacted 2-furfural, ca. 55 area percent of FUR/NMP product, and ca. 11 area percent of the nitro-olefin. The crude product mixture was purified by chromatography on a silica gel column (Merck, Grade 9385, 230-400 mesh). The column was eluted with methylene chloride to remove first the nitro-olefin, and

then the purified FUR/NMP product. The yield of FUR/NMP as an amber oil was 11.26g. GC analysis indicated that all of the nitro-olefin had been removed.

Example 4 - Synthesis of Vanillin-IPHA Nitrone

Vanillin-IPHA Nitrone: Vanillin (30.43g, 0.20 moles), isopropylhydroxylamine (IPHA; ANGUS lot 5-H-93; 15.02g, 0.20 moles) and methanol (50 mL) were charged to a 500 mL flask. The mixture was stirred at room temperature under a nitrogen blanket to give a clear, yellow solution. After a few minutes, a slow exotherm began, with a temperature of ca. 45°C being reached in 20 minutes. Shortly after the exotherm began to subside, crystalline solids began to separate from the reaction mixture. The reaction slurry was allowed to stir at room temperature overnight. The white crystalline solid product was isolated by filtration. The product was washed on the filter with a total of 100 mL of isopropanol. After drying, the yield of vanillin-IPHA nitrone product was 34.00g. MP = 178-179°C.

Example 5 - Synthesis of NMPD-bis-DEA

NMPD-bis-DEA: NMPD (ANGUS lot ZD-06024; 27.08g, 0.20 moles) and DEA (41.2 mL, 29.13g, 0.40 moles) were dissolved with stirring in 40g of distilled water in a 250 mL flask. An exotherm to 45°C occurred over 10 minutes. The turbid reaction mixture solution was stirred at room temperature overnight. The reaction mixture was saturated with sodium chloride, then the layers were separated. The aqueous layer was extracted twice with equal volumes of ether. The extracts were combined and were dried over anhydrous magnesium sulfate. After filtering, the solvent was removed from the solution to give a quantitative yield of NMPD-bis-DEA as a clear yellow liquid product. GC analysis showed the product contained <3 area percent of NMPD.

Example 6 - Synthesis of CHDA-bis-IPHA nitrone

CHDA-bis-IPHA nitrone: Cyclohexanedicarboxaldehyde (CHDA; mixture of 1,4 and 1,3 isomers; Dow XUR-YM-2002108630; 14.02g, 0.10 moles) and IPHA (ANGUS lot CEC200101840-54; 15.02g, 0.20 moles) were stirred under nitrogen with 25g of methanol in a 250 mL flask. An exotherm to ca. 50°C ensued as the IPHA dissolved. The exotherm quickly cooled, resulting in a clear colorless solution. The solution was stirred at room temperature for several days. The solvent was removed from the solution by rotary evaporation. Toluene was added to the resulting paste, then the mixture was subjected to rotary evaporation again to remove water as an azeotrope. The resulting CHDA-bis-IPHA

nitron was an off-white solid. The yield was 23.3g (92 percent). Because it was a mixture of isomers, the product exhibited a broad melting range of 130 - 140°C.

The product could be recrystallized from 10:1 toluene - isopropanol to give more discrete isomer fractions having narrower melting ranges.

5 Example 7 - Synthesis of CHDA-A

CHDA-A: This adduct was made by the reaction of CHDA with an excess of aqueous ammonium hydroxide solution.

Example 8 - Synthesis of DMTA

10 DMTA: This aminoalcohol was made by the reductive methylation of TN by a modification of the method described in U.S. Patent No. 2,363,466.

Example 9 - Synthesis of DMA-NMPD

15 DMA-NMPD: NMPD (ANGUS lot ZD-06024; 13.57g, 0.10 moles) was dissolved in aqueous DMA (40 wt. percent, 12.6 mL, 4.53g, 0.10 moles) with stirring at room temperature under nitrogen. Within 30 minutes the solution became very turbid and darker yellow in color. After 5 days at room temperature the reaction mixture was a white, waxy solid. The product was slurried with methanol and toluene, then the solvents were removed by rotary evaporation. The yield of off-white DMA-NMPD was 15.4g (95 percent). MP was 73 - 77°C. GC analysis showed 4 area percent of NMPD.

Example 10 - Synthesis of NMP acetal

20 NMP acetal: This compound was prepared according to the method described in U.S. Patent No. 2,415,046.

Example 11 - Synthesis of PYRIM

25 PYRIM: TN (15g, 0.1 moles), IPA (12g, 0.2 moles), and 36 wt. percent aqueous formaldehyde (7 mL, 0.1 moles) were mixed in a flask. The mixture was cooled in an ice bath to avoid loss of the amine. It was then stirred at room temperature for 30 minutes, then it was kept at 5°C overnight. The solid product that separated was isolated by filtration and dried in air for 4 hours. The yield of 5-nitro-5-hydroxymethyl-1,3-bis(isopropyl)hexahydropyrimidine (PYRIM) as a tan solid was 22g (91 percent). MP = 116 - 117°C.

Example 12 - Synthesis of Formaldehyde-based nitrones

FORMALDEHYDE-BASED NITRONES: Formaldehyde-based nitrones from hydroxylamine (HA), IPHA, PHA, EHA, tBuHA, and N-benzylHA were all prepared by the general procedure described below:

- 5        IPHA (7.51g, 0.10-moles; ANGUS lot CEC200101840-54) was dissolved in 15g of methanol, then Formcel™ (55 wt. percent formaldehyde in methanol; 5.45g, 0.10 moles; Celanese lot T-2529 (1/11/01) ) was added. The clear colorless solution was stirred at room temperature for a few minutes. GC analysis showed complete conversion to the nitrone. The resulting solution was 31 wt. percent in nitrone.

10    Example 13 - Synthesis of PF resole resin

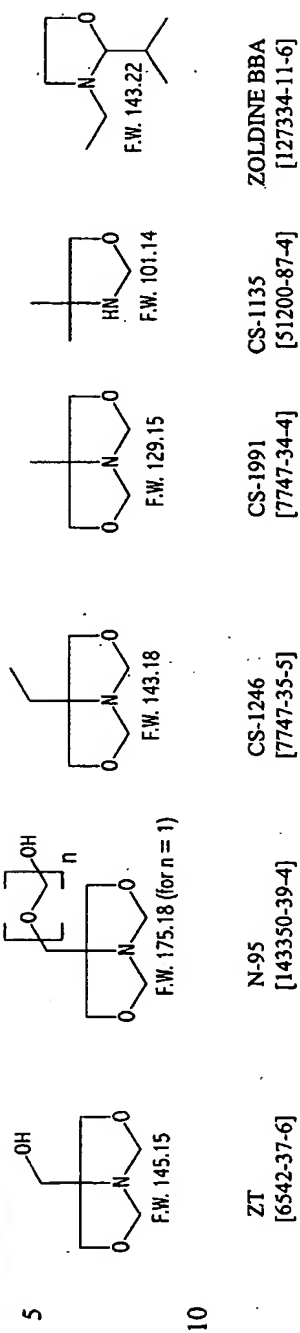
- PF RESOLE RESIN RS20020437871: A resin kettle was charged with phenol (47g, 0.5 moles), aqueous formaldehyde (37 wt. percent, 80 mL, 32.06g, 1.0 mole) and 100 mL of 4 N sodium hydroxide solution. The solution was stirred under nitrogen. An exotherm to ca. 60°C was observed upon mixing. This subsided over 1 hour. The reaction mixture was stirred at room temperature overnight. The reaction mixture was then heated at 90 - 95°C for 1 hour. After cooling to room temperature, the mixture was brought to pH 7 with hydrochloric acid. During this neutralization the temperature of the reaction mixture was kept at ca. 15°C by cooling the kettle in ice water. After neutralization, the reaction mixture was allowed to settle. The upper, aqueous layer was decanted from the lower, resole layer.
- 15    The resole was diluted with ca. 400 mL of acetone, and the solution was dried over anhydrous magnesium sulfate. The dried solution was filtered, then the solvent was removed by rotary evaporation at room temperature. The yield of resole as a clear brown liquid was 39.0g.
- 20

Example 14 - COMPARATIVE DSC DATA SURVEY

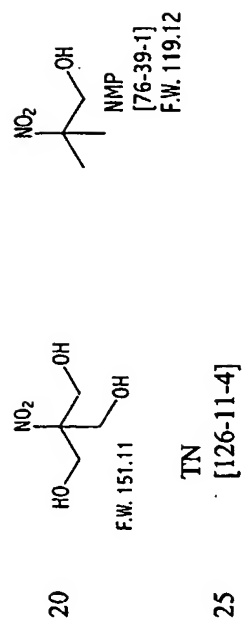
- 25        The objective of this work was to explore the potential for hardeners (methylene donors) and catalysts/accelerators to cure PF and PRF novolac resins. Hexamethylenetetramine (hexa) was used as the baseline for comparison since it is the hardener used most extensively in this industry.

SPECIFIC EXAMPLES OF THE CLASSES OF HARDENERS CITED IN THE DATA

OXAZOLIDINES (OX)

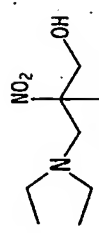
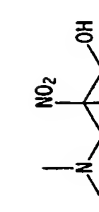
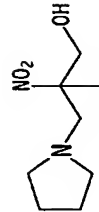
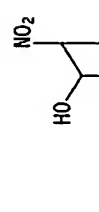


15 NITROALCOHOLS (NA)



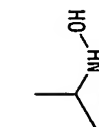
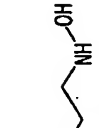
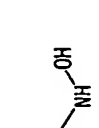
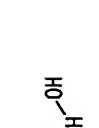
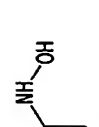


AMINONITROALCOHOLS (ANA)

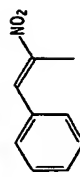

5				
	F.W. 190.24	F.W. 162.18	F.W. 188.22	F.W. 171.15
	DEA-NMPD (new compound - no CAS#)	DMA-NMPD (new compound - no CAS#)	PYRR-NMPD (new compound - no CAS#)	FUR-NMPD (new compound - no CAS#)

10

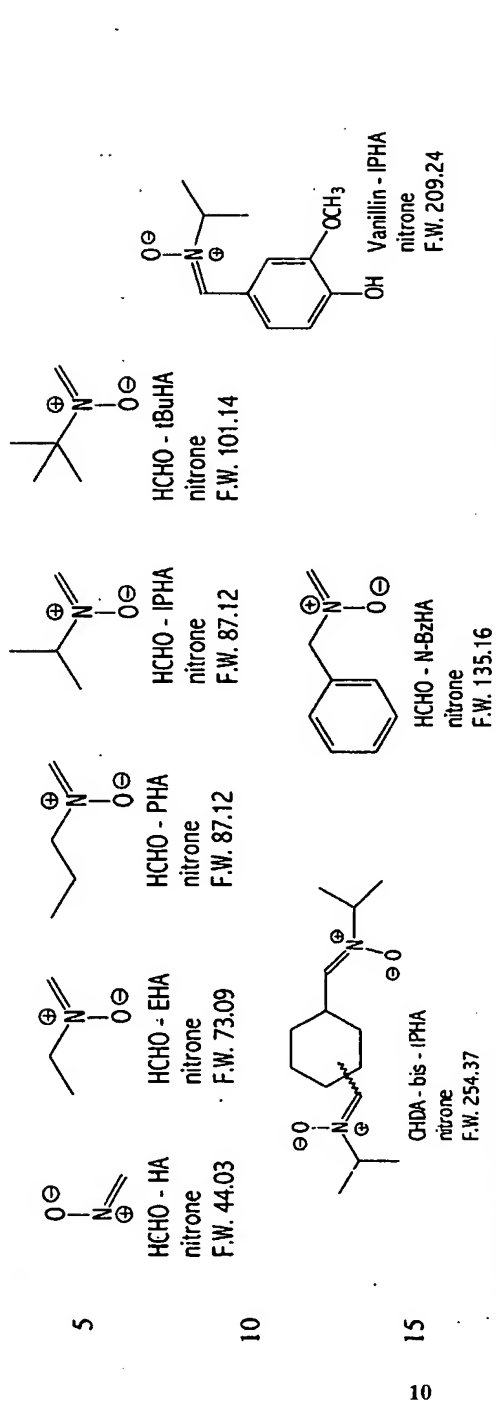
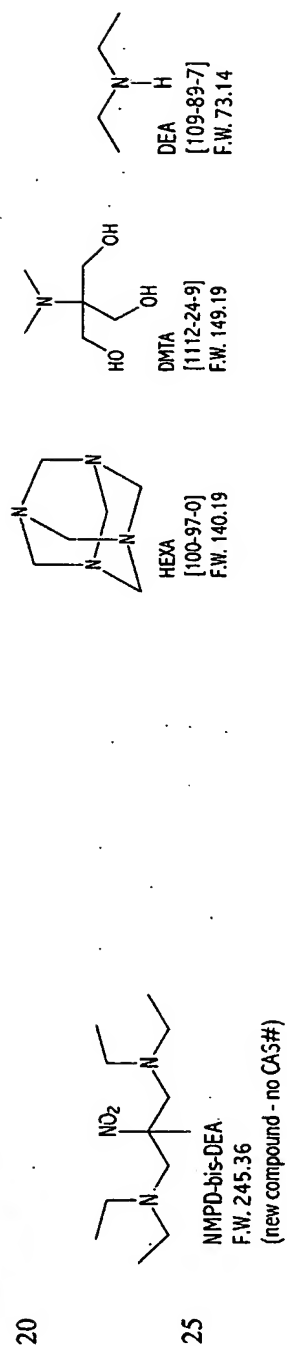
15 HYDROXYLAMINES (HA)

20					
	IPHA [5080-22-8] F.W. 75.11	PHA [627-38-3] F.W. 75.11	EHA [624-81-7] F.W. 61.08	tBuHA [16649-50-6] F.W. 89.13	N-CyhexHA [2211-64-5] F.W. 115.17
					DEHA [3710-84-7] F.W. 89.14

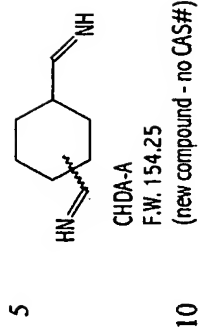
NITROOLEFINS (NO)

25		
	B-methyl-B-nitrostyrene F.W. 163.17 [705-60-2]	NMP acetal F.W. 250.25 [6263-70-3]

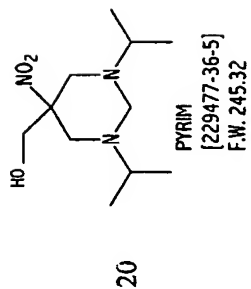
30

NITRONES (NIT)NITROAMINES (NA<sub>m</sub>)AMINES (A<sub>m</sub>)

IMINES (Im)



HEXAHYDROPYRIMIDINES (PYRIM)



The data (see Table 1 below) showed that the behavior of both of the PF novolac resins evaluated (Durez 29-607 and Plenco 13360) gave essentially the same performance with the various hardeners / catalysts tested. This indicated that the variations in cure behavior were a function of the hardener / catalyst and not of the resin. It was therefore  
5 reasonable to expect that a given hardener or catalyst would give similar performance with any PF novolac.

The PRF resin (Dynea 1204) by virtue of its resorcinol content was more reactive than the PF novolac resins. This is a well-known property. The purpose for including it here was to determine the differences in performance between PF and PRF resins with these  
10 new hardeners / catalysts.

Overall, with PF novolacs, the oxazolidines (OX), nitroalcohols (NA), nitro acetals (NAc), nitrones (NIT) and the aminonitroalcohols (ANA) appear to act as methylene (formaldehyde) donors. The nitroolefins (NO) are incorporated, but not as effectively as the methylene donors.

15 The hydroxylamine IPHA is not capable of acting as a methylene donor. However, it exhibits catalytic activity when paired with other methylene donors such as TN and ZT, by lowering the curing onset and peak temperatures compared to the corresponding formulations without IPHA.

Paraformaldehyde is more typically used than hexa as a hardener in PRF novolac resin formulations. Hexa was used here, however, to better allow comparisons of the new  
20 hardeners / catalysts with the PF novolac resins.

Because of its resorcinol content, the PRF novolac resin generally exhibits higher reactivity than PF novolacs and its reactivity with OXs and ANAs in this study are different. For example, CS-1135 reacted vigorously and exothermally with the PRF novolac upon  
25 mixing at room temperature. TN and NMP acetal were more reactive with the PRF novolac than in the PF resins.

In contrast, the ANAs appeared to be much poorer methylene donors here. The 3° amine groups present in the ANAs appear to act as catalysts in the PF novolac formulations, but this catalytic activity is overshadowed by the higher reactivity already provided by the  
30 resorcinol groups.



HARDENER (CLASS)	WT. %	RESIN	(gels on mixing)	CURING EVENTS			
				ONSET / PEAK C (HEAT. J/g)	ONSET / PEAK C (HEAT. J/g)	ONSET / PEAK C (HEAT. J/g)	ONSET / PEAK C (HEAT. J/g)
CS-1991 (OX)	15	Dynea 1204 PRF novolac	(gels on mixing)				
		Durez 29-607 PF novolac	100 / 118 (9)	134 / 183 (129)	295 / 336 (41)		
		Plenco 13360 PF novolac	90 / 187 (232)	287 / 329 (65)			
		Dynea 1204 PRF novolac	123 / 157 (73)	305 / 323 (17)			
DEA-NMPD (ANA)	25	Durez 29-607 PF novolac	97 / 113 (99)	139 / 205 (124)			
		Plenco 13360 PF novolac	93 / 118 (39)	150 / 167 (19)	185 / 204 (48)		
		Dynea 1204 PRF novolac	ca.110 / 173 (371)				
PYRR- NMPD (ANA)	25	Durez 29-607 PF novolac	100 / 112 (80)	127 / 159 (80)	161 / 181 (150)		234 / 251 (134)
		Plenco 13360 PF novolac	ca.100 / 130 (112)	125 / 166 (478)			
		Dynea 1204 PRF novolac	130 / 172 (223)	ca.225 / 239 (149)			
Vanillin-IPHA (NIT)	25	Durez 29-607 PF novolac	203 / 230 (143)				
		Plenco 13360 PF novolac	200 / 232 (238)				
		Dynea 1204 PRF novolac	198 / 232 (209)				
TN / IPHA (NA - HA)	5.55 / 8.27	Durez 29-607 PF novolac	151 / 156 (179)				
		Plenco 13360 PF novolac	142 / 153 (363)				
		Dynea 1204 PRF novolac	78 / 105 (209)	163 / 193 (25)	ca.225 / 242 (46)		302 / 346 (10)
ZT-55 / IPHA (OX - HA)	14.54 / 8.27	Durez 29-607 PF novolac	136 / 167 (138)	285 / 332 (34)			
		Plenco 13360 PF novolac	126 / 173 (139)	ca.200 / 210 (67)	297 / 338 (66)		
		Dynea 1204 PRF novolac	77 / 104 (39)	161 / 211 (90)	286 / 304 (42)		
N-95	25	Durez 29-607 PF novolac	164 / 197 (145)	297 / 329 (37)			

OX	HARDENER (CLASS)	WT.%	RESIN	CURING EVENTS				ONSET / PEAK C (HEAT. J/g)
				ONSET / PEAK C (HEAT. J/g)	ONSET / PEAK C (HEAT. J/g)	ONSET / PEAK C (HEAT. J/g)		
	Plenco 13360 PF novolac			152 / 184 (163)				293 / 325 (78)
	Dynea 1204 PRF novolac			80 / 170 (267)				ca.260 / 302 (75)
TN (NA)	Durez 29-607 PF novolac	11.11		198 / 208 (254)				
	Plenco 13360 PF novolac			206 / 214 (421)				
	Dynea 1204 PRF novolac			83 / 107 (259)				156 / 211 (51)
TN / ZT-55 (OX - NA)	Durez 29-607 PF novolac	5.55 / 14.54		172 / 191 (182)				
	Plenco 13360 PF novolac			181 / 191 (424)				
	Dynea 1204 PRF novolac			103 / 164 (212)				278 / 310 (32)
PYRR- NMPD (ANA)	Durez 29-607 PF novolac	15 / 15		79 / 114 (122)				ca.145 / 168 (276)
	Plenco 13360 PF novolac			80 / 131 (128)				ca.150 / 168 (454)
	Dynea 1204 PRF novolac			107 / 174 (469)				ca.230 / 304 (220)
FUR-NMP (ANA)	Durez 29-607 PF novolac	25		208 / 251 (156)				
	Plenco 13360 PF novolac			186 / 255 (227)				
	Dynea 1204 PRF novolac			90 / 117 (100)				ca.140 / 145 (87)
B-methyl-B- nitrostyrene (NO)	Durez 29-607 PF novolac	25		245 / 268 (60)				ca.230 / 241 (85)
	Plenco 13360 PF novolac			241 / 276 (85)				
	Dynea 1204 PRF novolac			ca.65 / 98 (305)				
NMP acetal (NAC)	Durez 29-607 PF novolac	25		123 / 163 (19)				181 / 196 (48)
	Plenco 13360 PF novolac			241 / 293 (102)				266 / 290 (43)
	Dynea 1204 PRF novolac			ca.200 / 272 (658)				

HARDENER (CLASS)	WT. %	RESIN	CURING EVENTS					
			ONSET / PEAK C (HEAT. J/g)	ONSET / PEAK C (HEAT. J/g)	ONSET / PEAK C (HEAT. J/g)	ONSET / PEAK C (HEAT. J/g)	ONSET / PEAK C (HEAT. J/g)	
Zoldine BBA (OX)	25	Durez 29-607 PF novolac	232 / 283 (46)					
		Plenco 13360 PF novolac	205 / 277 (189)					
		Dynea 1204 PRF novolac	72 / 112 (49)	295 / 315 (23)				
CS-1135 / IPHA (OX - HA)	15 / 8	Durez 29-607 PF novolac	134 / 155 (20)	191 / 223 (39)	279 / 345 (36)			
		Plenco 13360 PF novolac	83 / 108 (68)	139 / 158 (68)	281 / 331 (52)			
		Dynea 1204 PRF novolac	70 / 110 (163)	ca.150 / 212 (297)				
N-95 / IPHA (OX - HA)	15 / 8	Durez 29-607 PF novolac	137 / 159 (264)	290 / 329 (49)				
		Plenco 13360 PF novolac	142 / 168 (171)	293 / 339 (68)				
		Dynea 1204 PRF novolac	69 / 105 (157)	ca.150 / 213 (265)	ca.280 / 304 (59)			
Vanillin-IPHA nitro / IPHA (NIT - HA)	15 / 5	Durez 29-607 PF novolac	167 / 191 (81)	ca.200 / 232 (202)				
		Plenco 13360 PF novolac	171 / 190 (197)	ca.215 / 232 (184)				
		Dynea 1204 PRF novolac	82 / 110 (288)	ca.170 / 238 (305)				
CS-1246 / IPHA (OX - HA)	12.0 / 8.0	Durez 29-607 PF novolac	130 / 168 (301)	268 / 330 (63)				
		Plenco 13360 PF novolac	118 / 169 (308)	276 / 325 (87)				
		Dynea 1204 PRF novolac	64 / 109 (157)	ca.160 / 213 (226)	ca.280 / 319 (33)			
CS-1991 / IPHA (OX - HA)	12.0 / 8.0	Durez 29-607 PF novolac	128 / 167 (332)	266 / 329 (70)				
		Plenco 13360 PF novolac	82 / 162 (259)	260 / 333 (107)				
		Dynea 1204 PRF novolac	68 / 114 (114)	122 / 182 (334)	ca.270 / 318 (43)			
ZT-55 / TN / (OX - NA)	10.0 / 5.0 /	Durez 29-607 PF novolac	ca.130 / 156 (353)					
		Plenco 13360 PF novolac	129 / 157 (327)	ca.265 / 310 (161)				
		Dynea 1204 PRF novolac	ca.100 / 182 (293)	ca.255 / 305 (63)				



B-methyl-B-Nitrostyrene/ TN (NO - NA)	10.0 / 10.0	Durez 29-607 PF novolac Plenco 13360 PF novolac Dynea 1204 PRF novolac	210 / 217 (333) 203 / 213 (322) 76 / 104 (219)	ca.145 / 154 (259)		
B-methyl-B-Nitrostyrene/ TN / IPHA (NO - NA - HA)	10.0 / 5.0 / 5	Durez 29-607 PF novolac Plenco 13360 PF novolac Dynea 1204 PRF novolac	63 / 78 (21) 137 / 160 (246) 73 / 110 (321)	147 / 175 (141) ca.205 / ca.245 (196) ca.168 / 222 (216)	ca.200 / 229 (232)	
DEA-NMPD / TN (ANA - NA)	10.0 / 5.0	Durez 29-607 PF novolac Plenco 13360 PF novolac Dynea 1204 PRF novolac	125 / 177 (146) 92 / 103 (13) 62 / 80 (21)	154 / 170 (5) 96 / 172 (417)	211 / 232 (3)	317 / 341 (5)
DEA-NMPD / TN / IPHA (ANA - NA - HA)	10.0 / 5.0 / 5	Durez 29-607 PF novolac Plenco 13360 PF novolac Dynea 1204 PRF novolac	73 / 90 (15) 75 / 93 (21) 71 / 156 (445)	114 / 127 (84) 102 / 120 (55) ca.220 / 247 (148)	ca.205 / 222 (43) ca.175 / 216 (32)	

Example 15 - Comparative DSC Data Survey

In order to demonstrate the utility of this invention, a series of formulations were prepared by dissolving a commercially available PF novolac resin (Varcum 29-607  
5 available from Duréz Corp.) in ethanol along with the hardener/catalyst system to be evaluated. The solvent was then removed in a vacuum oven at ambient temperature. The resulting solid resin formulation was evaluated using a differential scanning calorimeter (DSC; TA Instruments Model Q100) to observe curing onset and peak temperatures and heats of curing for the curing events taking place. The DSC scans were run at  $\Delta T = 10^{\circ}\text{C} /$   
10 minute from  $25^{\circ}\text{C}$  to  $400^{\circ}\text{C}$ . Hexamethylenetetramine (hexa) was used as a baseline since it is the hardener most commonly used in the industry. The results of these DSC analyses are shown in the below Table 2.

The DSC results using hexa as the hardener show two exothermic curing events of nearly equal heat emission (measured as joules per gram, J/g). The ANGUS hardeners CS-  
15 1135 oxazolidine and the new nitroaminoalcohols DEA/NMPD and PYRR/NMPD showed dramatic lowering in the peak temperatures of both of the curing events. Significant cost savings may be realized through the use of lower mold temperatures allowed by using the hardeners of the invention. The combination of ZT-55 oxazolidine with new nitroaminoalcohol PYRR/NMPD resulted in almost all of the curing event taking place at  
20  $<200^{\circ}\text{C}$ . Advantageously, this provides cost savings through the elimination or dramatic reduction in the need for thermal post-curing. Oxazolidines ZT-55, CS-1991, and CS-1246, nitroalcohol TN, vanillin-IPHA nitron, and the formulation of TN with ZT-55 all showed essentially all of the curing taking place in the  $180\text{-}230^{\circ}\text{C}$  range. A further advantage indicated in these results is improved resin pot life at intermediate temperatures to allow for  
25 blending, resin transfer to molds, etc., followed by rapid cure at higher mold temperatures.

Unexpectedly, the HAs of the invention have been shown to have a catalytic/accelerator effect on other methylene donors. Further, the function and utility of nitron (NIT) intermediates as curing agents was unexpected. The ability of HAs to improve upon the performance of hexa is a truly novel and significant breakthrough.

30 The question of use levels is somewhat complicated. Hexa is used as a hardener at levels of from 3 percent to as much as 15 or 20 percent based on resin weight. The use level depends upon the end use application, the cured resin properties desired in that application, and the processing conditions to be used.

Similarly, the ANGUS-based hardeners / methylene donors disclosed herein (OX, NA, NIT, ANA, NAM, NAc, PYRIM) can be used at different levels to achieve different processing behaviors and end use properties. As a guideline for use, we have assembled a table of "formaldehyde equivalents" for hexa and the various methylene donors we have disclosed. This table enables a user to determine how much of a chosen hardener is needed to provide the same equivalents of formaldehyde that would be provided by a given amount of hexa. In general, these new ANGUS-based hardeners would be expected to be used at from 0.1 wt. percent to as much as 75 wt. percent based on resin weight.

It should be noted that the objective of this invention was not only to discover new hardeners and catalysts that can be cured at lower temperatures than hexa-based formulation. In a broader sense, the objective was to discover new hardeners and catalysts that would lead to broader control over the processing of phenolic resins. Low temperature cure and / or a reduction in post cure baking time are certainly of value in terms of energy savings and increase in throughput. But an increase in pot life stability of a resin system can also provide significant benefits to an end user. Examples include injection molding, filament winding, and pultrusion applications in which the resin is often a melt rather than a solution. In these applications the ability to provide a resin formulation that will not advance while in the melt but will rapidly cure at an elevated cure temperature will result in more consistent parts, less resin waste, and less machine down-time to clean out advanced resin.

Finally, the hardeners and catalysts discovered in this invention can be used in any of the applications in which phenolic resins are used, including, molding compounds, foundry materials, abrasives, friction materials, insulation, adhesives, coatings, prepregs, electronics, laminates, filament winding, pultrusion, composites, and fire resistant and flame-retardant end uses.

TABLE 2

SUPPLIER	PRODUCT NUMBER	RESIN SYSTEM		CURING EVENTS			
		TYPE	HARDENER	WT.%	PEAK TEMP, C/ TOTAL HEAT, J/g	PEAK TEMP, C/ TOTAL HEAT, J/g	PEAK TEMP, C/ TOTAL HEAT, J/g
Durez	29-607	PF novolac	ZT-55 / IPHA	14.54 / 8.27	167 / 138		332 / 34
			DEA/NMPD	25	97 / 99	205 / 124	
			PYRR/NMPD	25	112 / ca 80 159 / ca 80	ca 181 / ca 150	(tr @ 322)
			N-95	25	197 / 145		329 / 37
			B-methyl-B-nitrostyrene	25		288 / ca 40	ca 330 / ca 20
			NMP acetal	25	163 / 19	196 / 48	327 / 9
			Vanillin / IPHA nitro	25	103 / 11 139 / 15	230 / 143	325 / 16
			PYRR/NMPD / ZT-55	15 / 15	114 / 122 168 / 122	192 / 108	321 / 2

Example 15 - EXTENSIVE HARDENER / CATALYST SURVEY WITH DUREZ PF NOVOLAC 29-607

A broader variety of hardeners / catalysts were evaluated alone and in combination. The results obtained in the comparative survey remain valid as shown in Table 3.

5

TABLE 3LOW TEMPERATURE CURING PHENOLIC RESINS

Durez PF Novolac 29-607

SUMMARY OF DSC DATA

TA Instruments Model Q 100 DSC

Run Conditions: 10C / minute from 25C to 400C with N2 at 50 cc / min.

NIT = nitro  
Im = Imine  
PYRIM = hexahydropyrimidine

NA = nitroalcohol  
NAM = nitroamine  
NO = nitroolefin  
NAC = nitroacetal

CLASS: Am = amine  
OX = oxazolidine  
HA = hydroxylamine  
ANA = aminonitroalcohol

HARDENER	WT.%	CLASS	RESIN SYSTEM				CURING EVENTS				GEL TIMES	
			ONSET / PEAK		ONSET / PEAK		ONSET / PEAK		ONSET / PEAK		SEC (MIN)	160C
			C	(HEAT, J/g)	C	(HEAT, J/g)	C	(HEAT, J/g)	C	(HEAT, J/g)		
HEXA	5.39	Am-baseline	140 / 153 (69)		218 / 227 (3)			244 / 255 (72)			154	
ZT	29.08	OX	151 / 193 (137)		286 / 316 (44)							
CS-1246	14.13	OX	142 / 183 (161)		309 / 341 (30)						133	
CS-1135	22.29	OX	65 / 98 (36)		128 / 175 (68)			304 / 351 (19)			58	
CS-1991	15	OX	100 / 118 (9)		134 / 183 (129)			295 / 336 (41)			78	
Zoldine BBA	25	OX	232 / 283 (46)									
N-95	25	OX	164 / 197 (145)		297 / 329 (37)							
TN	11.11	NA	198 / 208 (254)									

HARDENER	WT. %	CLASS	RESIN SYSTEM			CURING EVENTS			GEL TIMES	
			ONSET / PEAK C	(HEAT J/g)	ONSET / PEAK C	(HEAT J/g)	ONSET / PEAK C	(HEAT J/g)	SEC (MIN)	160C
IPHA	16.55	HA	147 / 159 (39)	235 / 267 (65)						
DEA-NMPD	25	ANA	97 / 113 (99)	139 / 205 (124)						
	15	ANA	88 / 108 (37)	ca.128 / 179 (62)						
DMA-NMPD	25	ANA	111 / 211 (292)				ca.205 / 222 (111)		39	
	15	ANA	107 / 194 (265)							
FUR-NMP	25	ANA	208 / 251 (156)							
PYRR-NMPD	25	ANA	100 / 112 (80)	127 / 159 (80)	161 / 181 (150)	234 / 251 (134)			121	
NMPD-bis-DEA	15	NAm	85 / 108 (76)	ca.160 / 185 (6)	ca.190 / 239 (137)					
B-methyl-B-Nitrostyrene	25	NO	245 / 268 (60)							
NMP acetal	25	NAC	123 / 163 (19)	181 / 196 (48)	266 / 290 (43)					
Vanillin IPHA	25	NIT	203 / 230 (143)							
nitro	15	NIT	193 / 233 (209)	321 / 342 (12)					>60	
CHDA-bis-	15	NIT	181 / 215 (276)						(41.75)	
IPHA nitro										
HCHO-IPHA	15	NIT	87 / 104 (9)	ca.150 / 173 (76)	ca.230 / 252 (50)	ca.300 / 342 (43)				
nitro										
HCHO-PHA	15	NIT	80 / 126 (21)	ca.140 / 162 (31)	180 / 224 (260)					
nitro										
HCHO-tBuHA	15	NIT	ca.90 / ca.125 (ca.10)	146 / 172 (62)	ca.195 / 248 (151)					

HARDENER		RESIN SYSTEM		CURING EVENTS						GEL TIMES SEC/MIN 160C	
				ONSET / PEAK		ONSET / PEAK		ONSET / PEAK			
				C	(HEAT. J/g)	C	(HEAT. J/g)	C	(HEAT. J/g)		
nitroene	15	NIT	102 / 159 (57)	174 / 229 (238)							
HCHO-EHA nitroene	15	NIT	ca. 100 / 107 (100)	ca. 115 / 164 (85)	ca. 160 / 223 (304)						(>30)
HCHO-N nitroene	15	NIT	82 / 116 (27)	ca. 140 / 154 (24)	179 / 231 (124)						209
BzHA nitroene											
CHDA-A	15	Im	88 / 107 (7)	ca. 145 / 213 (144)							(31.25)
Hexa / IPHA	6.0 / 6.0	Am - HA	128 / 178 (164)	ca. 185 / 217 (262)							94
Hexa / IPHA	8.6 / 5.0	Am - HA	147 / 158 (56)	198 / 228 (106)							97
Hexa / IPHA	8.6 / 2.5	Am - HA	148 / 164 (33)	215 / 230 (78)							97
Hexa / IPHA	8.6 / 1.0	Am - HA	146 / 166 (17)	196 / 220 (54)							
Hexa / PHA	6.0 / 6.0	Am - HA	93 / 112 (12)	126 / 151 (27)	149 / 208 (194)						
Hexa / PHA	8.6 / 5.0	Am - HA	153 / 164 (7)	202 / 209 (10)	ca. 215 / 230 (113)						143
Hexa / PHA	8.6 / 1.0	Am - HA	154 / 166 (13)	199 / 208 (17)	ca. 250 / 255 (108)						152
Hexa / PHA	8.6 / 0.5	Am - HA	151 / 167 (15)	227 / 263 (68)							140
Hexa / PHA	8.6 / 0.1	Am - HA	151 / 165 (15)	224 / 283 (77)							145
Hexa / DEHA	8.6 / 5.0	Am - HA	151 / 162 (17)	192 / 215 (29)							113
Hexa / DEHA	8.6 / 1.0	Am - HA	152 / 165 (16)	223 / 252 (17)							143
Hexa / N-Cy-DEHA	8.6 / 2.5	Am - HA	92 / 116 (24)	ca. 145 / 162 (38)	ca. 180 / 235 (82)						

hex HA	RESIN SYSTEM			CURING EVENTS				GEL TIMES	
				ONSET / PEAK		ONSET / PEAK		SEC (MIN)	160°C
				C	(HEAT, J/g)	C	(HEAT, J/g)		
Hexa / NMPD bis-DEA	8.6 / 2.0	Am - NAm	89 / 165 (48)		ca. 205 / 218 (28)			145	
CS-1135 / IPHA	15.0 / 8.0	OX - HA	134 / 155 (20)		191 / 223 (39)		279 / 345 (38)		
CS-1246 / IPHA	10.0 / 5.0	OX - HA	71 / 107 (61)		ca. 128 / 152 (50)		ca. 160 / 182 (39)	83	
CS-1246 / IPHA	12.0 / 8.0	OX - HA	130 / 168 (301)		268 / 330 (63)				
CS-1246 / IPHA	12.0 / 1.0	OX - HA	139 / 172 (133)		313 / 343 (25)			133	
CS-1246 / DEHA	12.0 / 1.0	OX - HA	145 / 173 (104)		289 / 342 (42)			105	
CS-1991 / IPHA	12.0 / 8.0	OX - HA	128 / 167 (332)		266 / 329 (70)				
N-95 / IPHA	15.0 / 8.0	OX - HA	137 / 168 (264)		290 / 328 (49)				
ZT / IPHA	14.54 / 6.27	OX - HA	136 / 167 (138)		285 / 332 (34)				
CS-1135 / DMTA	10.0 / 5.0	OX - Am	93 / 142 (87)		226 / 289 (146)				
HARDENER	RESIN SYSTEM			CURING EVENTS				GEL TIMES	
				ONSET / PEAK		ONSET / PEAK		SEC (MIN)	160°C
				C	(HEAT, J/g)	C	(HEAT, J/g)		
TN / IPHA	5.55 / 8.27	NA - HA	151 / 156 (179)					303	
TN / IPHA	14.4 / 2.0	NA - HA	189 / 203 (234)					170	
TN / IPHA	12.8 / 5.0	NA - HA	151 / 156 (88)					483	
TN / IPHA	12.8 / 1.0	NA - HA	192 / 204 (283)					(>7)	
TN / IPHA	12.8 / 0.5	NA - HA	198 / 209 (299)					(>7)	
TN / IPHA	12.8 / 0.1	NA - HA	207 / 216 (243)						
TN / PHA	5.0 / 5.0	NA - HA	145 / 167 (237)						
TN / PHA	12.8 / 5.0	NA - HA	149 / 179 (323)					(>7)	



25

TN						
CHDA-bis- IPHA nitrore DEA-NMPD	10.0 / 5.0	NIT - ANA	181 / 216 (114)			
DEA-NMPD / TN	10.0 / 5.0	ANA - NA	125 / 177 (146)			66
DMA-NMPD / TN	10.0 / 5.0	ANA - NA	112 / 125 (52)	ca.140 / 193 (233)		
DMA-NMPD / TN	2.0 / 14.4	ANA - NA	169 / 191 (157)			238
DEA-NMPD / IPHA	10.0 / 5.0	ANA - HA	86 / 110 (48)	ca.125 / 138 (46)	ca.175 / 237 (125)	
DEA-NMPD / PHA	10.0 / 5.0	ANA - HA	95 / 111 (22)	119 / 139 (53)	ca.170 / 217 (139)	
PYRR- NMPD/ ZT	15.00 / 15.00	ANA - OX	79 / 114 (122)	ca.145 / 168 (276)		81
DEA-NMPD / CS-1135 / IPHA	10.0 / 10.0 / 5	ANA-OX-HA	ca.105 / 119 (43)	ca.130 / 138 (33)	ca.175 / 204 (117)	ca.240

<u>RESIN SYSTEM</u>			<u>CURING EVENTS</u>			<u>GEL TIMES</u>	
<u>HARDENER</u>	<u>WT.%</u>	<u>CLASS</u>	<u>ONSET / PEAK</u> C	<u>ONSET / PEAK</u> C	<u>ONSET / PEAK</u> C	<u>SEC.(MIN)</u>	<u>160C</u> 150
DEA-NMPD / TN / IPHA	10.0 / 10.0 / 5	ANA-NA-HA	73 / 90 (15)	114 / 127 (84)	ca.205 / 222 (43)		
DMA-NMPD / TN / IPHA	10.0 / 5.0 / 5	ANA-NA-HA	ca.100 / 108 (17)	123 / 127 (53)	ca.160 / 165 (105)		
	9.0 / 10.0 / 1	ANA-NA-HA	91 / 108 (14)	122 / 158 (84)		43	
	2.0 / 14.4 / 1	ANA-NA-HA	171 / 192 (243)			115	
TN / IPHA / DMA-NMPD	2.0 / 12.8 / 1	ANA-NA-HA	181 / 201 (181)			130	
ZT / TN / IPHA	10.0 / 5.0 / 5	OX-NA-HA	ca.130 / 156 (353)			121	
	5.0 / 12.8 / 2	OX-NA-HA	165 / 187 (187)			195	
CS-1248 / TN / IPHA	7.0 / 7.0 / 1	OX-NA-HA	153 / 177 (149)			148	
B-methyl-B-nitrostyrene / TN / IPHA	10.0 / 5.0 / 5	NO-NA-HA	63 / 78 (21)	147 / 175 (141)	ca.200 / 229 (232)	291	
PYRIM / IPHA	17.5 / 2.0	PYRIM - HA	118 / 130 (50)	ca.145 / 158 (184)		58	
PYRIM / TN / IPHA	4.6 / 12.8 / 2	PYRIM-NA- HA	106 / 122 (16)	133 / 147 (217)		78	
	2.0 / 12.8 / 1	PYRIM-NA- HA	167 / 184 (234)			97	

In addition, the following observations can be made:

#### OXAZOLIDINES (OX)

- 5       - The ability of an oxazolidine to act as a methylene donor is a function of its structure.
- Oxazolidines derived from aldehydes other than formaldehyde show low activity (Zoldine BBA).
- Bis-oxazolidines such as ZT and CS-1991 are poorer methylene donors than mono-oxazolidines such as CS-1135. They have a greater affinity for  
10       formaldehyde. It is expected that mono-oxazolidines in which the N-H was replaced by N-R would also be poorer methylene donors.
- While N-95 has a higher formaldehyde equivalent weight than ZT, it has comparable reactivity. The additional formaldehyde content is not in a more active form.
- 15       - CS-1135 and CS-1991 are more effective methylene donors than hexa by DSC, and CS-1135, CS-1991, and CS-1246 showed shorter gel times than a hexa formulation containing the same formaldehyde equivalent.
- The combination of an oxazolidine (ZT) with a nitroalcohol (TN) did not improve performance. No synergy was realized.
- 20       - The combination of oxazolidines with hydroxylamines (HA) seemed to result in a lowering in the temperature of the second curing event seen by DSC, but had little positive effect on the initial curing events. This behavior was corroborated by the lack of improvement in gel times. Both N-alkyl and N,N-dialkyl HAs showed similar performance.
- 25       - The 3° amine DMTA showed DSC performance similar to HAs.
- The combination of ANAs with OX improved both DSC performance and gel time, but used in combination with HAs were similar to HAs alone.
- The combination of OXs with NAs and HAs showed improved DSC and gel  
30       time performance when OX was the predominant methylene donor.

## AMINONITROALCOHOLS (ANA)

- The ANAs DEA-NMPD AND PYRR-NMPD had lower DSC cure onset and peak temperatures and shorter gel times than the hexa baseline formulation.
- The combination of the ANA DEA-NMPD with the nitroalcohol (NA) TN had  
5 lower DSC cure onset and peak temperatures and shorter gel time than the hexa baseline formulation. Poorer results were obtained with DMA-NMPD.
- The DSC performance of ANAs in the presence of HAs was better than with ANAs alone.
- Formulations containing ANAs, NAs, and HAs had better DSC and gel time  
10 performance than ANA - NA or ANA - HA formulations.
- Overall, ANAs appear to act as both catalysts by virtue of their Mannich base 3° amine group and as methylene donors through both their nitroalcohol group and their Mannich base group.

## 15 NITROALCOHOLS (NA)

- NAs alone are weak methylene donors in PF novolac resin formulations.
- The combination of NAs with HAs did show improved DSC and gel time performance although these formulations did not perform better than the hexa baseline formulation.
- The combination of NAs with amines (Am) showed improved DSC performance  
20 with DMTA but not with DEA. It can be surmised that stronger base strength gives better performance.
- The imine (Im) CHDA-A in combination with the NA TN gave better DSC performance and shorter gel times than the hexa baseline formulation.
- Combinations of nitroamines (NAm) with NA gave DSC and gel time results  
25 that were similar to those obtained with ANAs and NAs. Performance was better than with the hexa baseline formulation.

## NITROAMINES (NAm)

- The low DSC curing onset and peak temperatures observed with NMPD-bis-  
30 DEA confirmed the hypothesis that the Mannich base groups of both the ANAs

and NAs act as both catalysts and as methylene donors. Performance was better than hexa baseline.

- NMPD-bis-DEA is effective in generating low DSC cure temperatures and short gel times even at catalytic concentrations (1-2 percent).

5

#### HEXAHYDROPYRIMIDINES (PYRIM)

- PYRIM are effective methylene donors.
- Formulations of PYRIM with HAs gave better DSC and gel time performance than the hexa baseline formulation.
- Formulations of PYRIM with HAs and NAs gave better DSC and gel time performance than the hexa baseline formulation.

10

#### NITRONES (NIT)

- NIT derived from IPHA and CHDA or vanillin are methylene donors and are about as effective as NAs.
- The performances of the IPHA nitrones of CHDA or vanillin are not improved by formulation with NAs or ANAs.
- The formaldehyde nitrones of EHA, PHA, IPHA and tBuHA all gave better DSC performances than the hexa baseline formulation.
- The DSC performances of the formaldehyde - IPHA and the formaldehyde - PHA nitrones were comparable to those of the TN - IPHA and TN - PHA formulation. This strongly supports the intermediacy of nitrones in NA - HA formulations.

15

20

#### 25 NITROOLEFINS (NO)

- NOs are incorporated at high reaction temperatures.
- Formulation of NA with NO gives DSC performance comparable to the NA alone. No synergy was observed.
- Formulations of NO with both NA and HA gave DSC and gel time results that were comparable to NA - HA formulations. No synergy was observed.

30

## NITROACETALS (NAc)

- The DSC performance of NAcS were comparable to those of NAs.

## HYDROXYLAMINES (HA)

- 5        - The performance of this class of compounds is discussed in combination with the other classes. HA alone was not effective in curing PF novolac.

## IMINES (Im)

- CHDA-A alone was comparable in performance to TN.
- 10       - CHDA-A was effective in improving the DSC and gel time performance of NA formulations. Performance was better than hexa baseline.

## HEXA-BASED FORMULATIONS (Am)

- HAs were found to be effective methylene transfer agents even in hexa  
15       formulations. This is a completely novel and unexpected result, but it does fit the performance of HAs seen above.
- IPHA in particular appears to perform catalytically with hexa. Formulations containing a fixed level of hexa with 5.0, 2:5, and 1.0 percent of IPHA all gave the same gel time.
- 20       - PHA, DEHA, and N-CyhexHA were less effective than IPHA, but were still improved over the baseline hexa formulation.
- Formulations of NAm with hexa were only marginally improved over the baseline hexa formulation.

25    Example 16 - HARDENER / CATALYST STUDY WITH PF RESOLE

PF resole resins are made with an excess of formaldehyde. Hence, they do not require a methylene donor to effect curing. Typically, they are cured by heat alone, or with heat and an acid catalyst.

- The data shown above indicated that HAs were effective methylene transfer agents.
- 30    Since resole resins are formaldehyde rich, it begged the question to determine whether HAs could effectively cure resole resins via similar methylene group transfer.

In order to evaluate the effects of the HAs alone, a resole resin was synthesized free of residual acid or base.

The results of this preliminary study (Table 4) showed the following:

- 5     ▪ IPHA increased the gel time of the resole resin. Gel time increased with increasing IPHA concentration.
- OX increased the gel times of the resole resin. The less reactive ZT gave longer gel time than the more reactive CS-1135. This is probably a function of base strength.
- TN gave a gel time intermediate between ZT and CS-1135. The addition of IPHA to TN  
10    actually increased gel time further.
- Resole resin catalyzed with oxalic acid had a shorter gel time than the uncatalyzed resin.
- The gel times for oxalic acid - IPHA formulations increased in gel time, with longer gel time at higher IPHA concentration.
- However, this increased gel time behavior, although unexpected, may actually be of  
15    great benefit: it may be a means of effectively improving the working pot life of resole resin formulations without impairing their performance.



TABLE 4

LOW TEMPERATURE CURING PHENOLIC RESINS									
PE RESOLE STUDIES - correlation of DSC data with Gel Time data									
RESOLE: RS200204378-71 >>TA Instruments Model Q 100 DSC Run Conditions: 10C / minute from 25C to 400C with 50 cc / min. N2 >>Gel Times determined using the hot plate method >>CLASS: Am = amine OX = oxazolidine HA = hydroxylamine ANA = aminonitroalcohol NA = nitroalcohol NAM = nitroamine NO = nitroolefin NAC = nitroacetal NIT = nitroene Im = imine PYRIM = hexahydropyrimidine									
HARDENER	WT. %	CLASS	ONSET / PEAK TEMP, C / (HEAT, J/g)	ONSET / PEAK TEMP, C / (HEAT, J/g)	ONSET / PEAK TEMP, C / (HEAT, J/g)	ONSET / PEAK TEMP, C / (HEAT, J/g)	ONSET / PEAK TEMP, C / (HEAT, J/g)	GEL TIMES SEC (MIN) 160C	
None	None	(none)	147 / 177 (195)	ca.200 / 235 (281)				63	
IPHA	1	HA	ca.150 / 191 (224)	ca. 215 / 228 (220)				77	
	5	HA	157 / 180 (155)	ca. 205 / 237 (103)				92	
	10	HA	164 / 182 (192)	ca.215 / 236 (94)	ca. 325 / 331 (18)			108	
ZT	10	OX	165 / 191 (289)	ca.220 / 238 (168)				127	
CS-1135	10	OX	117 / 134 (11)	155 / 173 (111)	ca.205 / 236 (174)		ca.310 / 319 (34)	85	
TN	5	NA	ca.150 / 185 (160)	ca. 218 / 244 (233)				108	

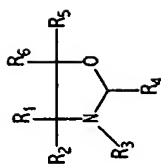
TN / IPHA	5.0 / 1.0	NA - HA	154 / 192 (192)	ca.225 / 240 (170)	119
Oxalic acid	1	Acid			48
Oxalic acid / IPHA	1.0 / 1.0 1.0 / 10.0	Acid / HA Acid / HA			53 143

<u>HARDENER</u>	<u>CHEMICAL FAMILY</u>	<u>PHYSICAL FORM</u>	<u>ACTIVES % W/W</u>	<u>MOLECULAR WEIGHT</u>	<u>MOLES HCHO AVAILABLE</u>	
					<u>Per gram</u>	<u>Per pound</u>
Hexamethylenetetra mine	Amine	Solid	100	140.19	0.0428	19.41
Formalin	Aldehyde	Liquid	37 (Aq)	30.03	0.0123	5.59
TN	Nitroalcohol	Solid	100	151.11	0.0199	9.01
NMPD	"	"	"	135.11	0.0148	6.73
NMP	"	"	"	119.12	0.0084	3.81
ZT-100	Oxazolidine	"	"	145.15	0.0138	6.26
ZT-55	"	Liquid	55 (Aq)	145.15	0.0076	3.44
CS-1246	"	"	100	143.18	0.0140	6.35
CS-1991	"	"	"	129.15	0.0155	7.04
CS-1135	"	"	78 (Aq)	101.14	0.0077	4.49
DMA - NMPD	Aminonitroal cohol	Solid	100	162.18	0.0123	5.60
DEA - NMPD	"	Liquid	"	190.24	0.0105	4.76
PYRR - NMPD	"	"	"	188.22	0.0106	4.82
NMPD-bis-DEA	Nitroamine	"	"	245.36	0.0082	3.70
HCHO - EHA	Nitrone	"	34 (MeOH)	73.09	0.0047	2.11
HCHO - FHA	"	"	31 (MeOH)	87.12	0.0036	1.61
HCHO - IPHA	"	"	31 (MeOH)	87.12	0.0036	1.61
HCHO - tBuHA	"	"	30 (MeOH)	101.14	0.0030	1.35
Vanillin - IPHA nitrone	"	Solid	100	209.24	0.0048	2.17
CHDA- bis-IPHA nitrone	"	"	"	254.37	0.0079	3.57
CHDA-A	Imine	"	"	138.21	0.0145	6.58

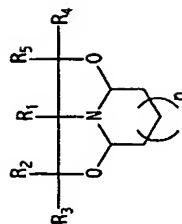
- Product as supplied

PHENOLIC RESIN HARDENERS

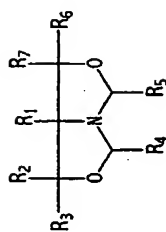
## Oxazolidines:



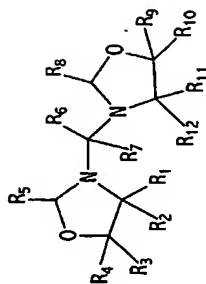
R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub> = may be the same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene



n = integer from 1 to 6  
R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub> = may be the same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

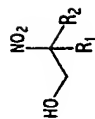


R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>7</sub> = may be the same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene



R<sub>1</sub> to R<sub>12</sub> = same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

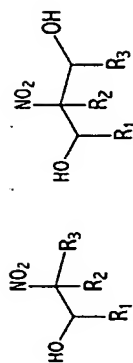
## Nitroalcohols, Nitroacetals:



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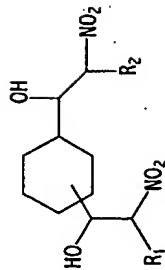
$R_1, R_2$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

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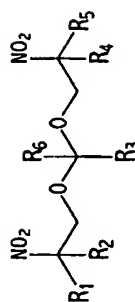


$R_1, R_2, R_3$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

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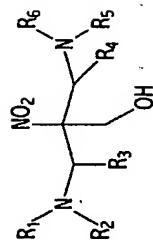
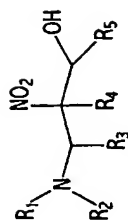
1,2-, 1,3-, and 1,4-isomers

$R_1, R_2$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

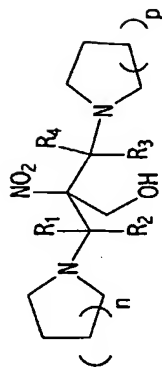
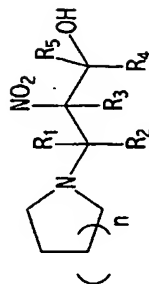
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$R_1$  to  $R_6$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

## Aminonitroalcohols:



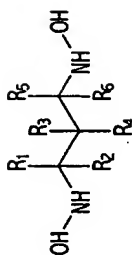
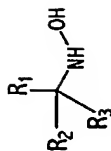
$R_1, R_2, R_3, R_4, R_5$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene



$n$  = integer from 1 to 6  
 $R_1$  to  $R_5$  = same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

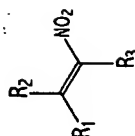
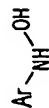
$n, p$  = same or different = integer from 1 to 6  
 $R_1$  to  $R_4$  = same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

## Nitro-olefins, Hydroxylamines:



10  $R_1, R_2, R_3$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear, cyclic or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

$R_1$  to  $R_6$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched or cyclic alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

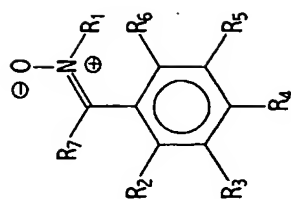


20 Ar = phenyl or substituted aryl

$R_1, R_2, R_3$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

## Nitrones:

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1,2-, 1,3-, and 1,4-isomers

$R_1, R_4$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic

$R_2$  to  $R_6$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl oxy, phenoxy, substituted aryloxy, Cl, Br, F, trifluoromethyl, thio,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl thio,  $C_1 - C_{12}$  linear or branched dialkylamino, diarylamino

$R_1$  = selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic

$R_2$  to  $R_7$  = may be the same or different,

selected from H,  $C_1 - C_{12}$  linear or branched alkyl,

or alkenyl, phenyl, substituted aryl, heterocyclic,

hydroxymethyl, hydroxy,  $C_1 - C_{12}$  linear or branched

alkyl or alkenyl oxy, phenoxy, substituted aryloxy,

Cl, Br, F, trifluoromethyl, thio,  $C_1 - C_{12}$  linear or

branched alkyl or alkenyl thio,  $C_1 - C_{12}$  linear or

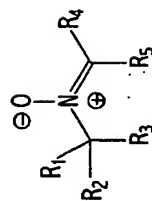
branched dialkylamino, diarylamino

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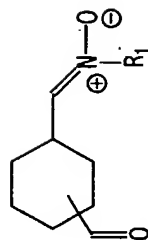


## Nitrones:



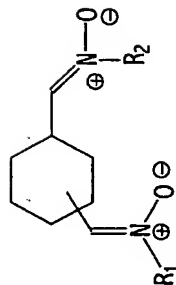
$R_1$  to  $R_5$  = may be the same or different, selected from H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic

## Various Functionalities:



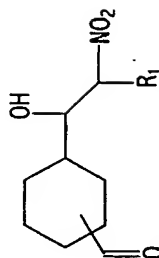
1,2-, 1,3-, and 1,4-isomers

$R_1$  = H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic



1,2-, 1,3-, and 1,4-isomers

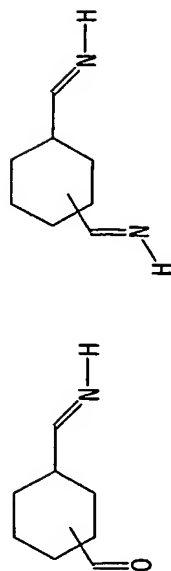
$R_1, R_2$  = may be the same or different, selected from H,  $C_1 - C_{12}$  alkyl or alkenyl, phenyl, substituted aryl, heterocyclic



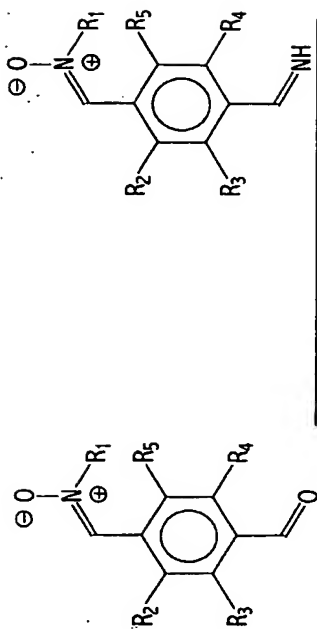
1,2-, 1,3-, and 1,4-isomers

$R_1$  = H,  $C_1 - C_{12}$  linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl

## Various Functionalities:



1,2-, 1,3-, and 1,4-isomers



1,2-, 1,3-, and 1,4-isomers

R<sub>1</sub> = H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclicR<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub> = may be the same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy, linear or branched alkyl or alkenyl oxy, phenoxy, substituted aryloxy, Cl, Br, F, trifluoromethyl, thio, linear or branched alkyl or alkenyl thio, C<sub>1</sub> - C<sub>12</sub> linear or branched dialkylamino, diarylamino

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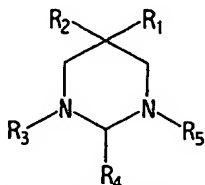
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## Hexahydropyrimidines (PYRIM):

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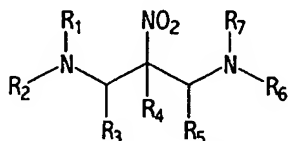
R<sub>1</sub> = may be NO<sub>2</sub>, H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl oxy, phenoxy, substituted aryloxy, hydroxy-terminated polyoxyalkylene

15

R<sub>2</sub> to R<sub>5</sub> = may be the same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

## Nitroamines (NAM):

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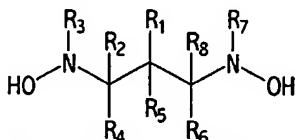
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R<sub>1</sub> to R<sub>7</sub> = may be the same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

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## Hydroxylamines (HA):

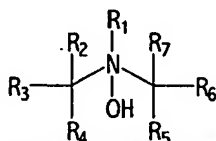
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R<sub>1</sub> to R<sub>8</sub> = may be the same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

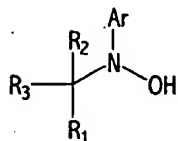
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R<sub>1</sub> to R<sub>7</sub> = may be the same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene

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Ar = phenyl or substituted aryl

R<sub>1</sub> to R<sub>3</sub> = may be the same or different, selected from H, C<sub>1</sub> - C<sub>12</sub> linear or branched alkyl or alkenyl, phenyl, substituted aryl, heterocyclic, hydroxymethyl, hydroxy-terminated polyoxyalkylene